

Elaboration, Diversification and Regulation of the Sir1 Family of Silencing Proteins in Saccharomyces

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ABSTRACT

Heterochromatin renders domains of chromosomes transcriptionally silent and, due to clonal variation in its formation, can generate heritably distinct populations of genetically identical cells. *Saccharomyces cerevisiae*'s Sir1 functions primarily in the establishment, but not the maintenance, of heterochromatic silencing at the *HMR* and *HML* loci. In several *Saccharomyces* species, we discovered multiple paralogs of Sir1, called Kos1–Kos4 (*Kin* of Sir1). The Kos and Sir1 proteins contributed partially overlapping functions to silencing of both cryptic mating loci in *S. bayanus*. Mutants of these paralogs reduced silencing at *HML* more than at *HMR*. Most genes of the *SIR1* family were located near telomeres, and at least one paralog was regulated by telomere position effect. In *S. cerevisiae*, Sir1 is recruited to the silencers at *HML* and *HMR* via its ORC interacting region (OIR), which binds the bromo adjacent homology (BAH) domain of Orc1. *Zygosaccharomyces rouxii*, which diverged from *Saccharomyces* after the appearance of the silent mating cassettes, but before the whole-genome duplication, contained an ortholog of Kos3 that was apparently the archetypal member of the family, with only one OIR. In contrast, a duplication of this domain was present in all orthologs of Sir1, Kos1, Kos2, and Kos4. We propose that the functional specialization of Sir3, itself a paralog of Orc1, as a silencing protein was facilitated by the tandem duplication of the OIR domain in the Sir1 family, allowing distinct Sir1–Sir3 and Sir1–Orc1 interactions through OIR–BAH domain interactions.

SUBSTANTIAL portions of many eukaryotic genomes are silenced, blocking transcription of genes in these regions. Proteins involved in gene silencing change the structure of chromatin, in part, by post-translational modifications of histones, leading to the recruitment of heterochromatin structural proteins that recognize these modifications. Heterochromatic regions of genomes often contain repetitive DNA such as retrotransposons, and are often regions of structural importance such as centromeres and telomeres. In yeasts, heterochromatin underlies the silencing mechanism controlling genes that determine cell type and helps to preserve the integrity of the genome. Hence, perturbation of heterochromatin can lead to drastic changes in cellular behavior that, in more complex eukaryotes, can lead to cancer and other diseases (LAFON *et al.* 2007; MOSS and WALLRATH 2007).

In *Saccharomyces*, the silent mating loci, *HML* and *HMR*, encode genetic regulators for both mating types, yet are constitutively silenced. Unidirectional gene

conversion from *HML* or *HMR* to the *MAT* locus in haploid cells causes a switch in mating types (HICKS *et al.* 1979; KUSHNER *et al.* 1979). Silencers flanking both sides of *HML* and *HMR* prevent expression of these loci. The silencers are bound by origin recognition complex (ORC) Rap1 and Abf1, which in turn recruit the Sir proteins that result in formation of silenced chromatin (RUSCHE *et al.* 2003). Sir1 protein is required primarily for the establishment of silencing at *HML* and *HMR* but not its maintenance (MAHONEY and BROACH 1989; PILLUS and RINE 1989). Sir1 is recruited to the silencers by interaction with Orc1 (TRIOLO and STERNGLANZ 1996; FOX *et al.* 1997) through the Orc1 interaction region (OIR) of Sir1, located in the C-terminal half of the protein, and the bromo adjacent homology (BAH) domain of Orc1 (GARDNER *et al.* 1999; ZHANG *et al.* 2002; HOU *et al.* 2005; HSU *et al.* 2005). A duplication of the OIR of Sir1, called OIR', has been proposed to bind to the bromo-associated homology (BAH) domain in the N-terminal region of Sir3, although no direct binding of these two domains has yet been detected (CONNELLY *et al.* 2006). Thus, these two similar domains within Sir1 may act as a scaffold to bring Orc1 and Sir3 into juxtaposition. In addition to binding Orc1, the C-terminal region of Sir1 also binds the Sir4 protein (BOSE *et al.* 2004), which is recruited to silencers through its

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interaction with Rap1, and presumably Sir1 as well. Sir4 is in a complex with Sir2 and Sir3 (MOAZED and JOHNSON 1996; MOAZED *et al.* 1997). Recruitment of the complex to the silencers allows Sir2 to deacetylate key lysines on the tails of neighboring histone H3 and H4, creating high-affinity binding sites for the Sir2, Sir3, and Sir4 complex, promoting spreading of Sir proteins across the *HML* and *HMR* loci (RUSCHE *et al.* 2003).

Sir1 allows stable heterochromatin formation by efficiently nucleating the Sir silencing complex on the *E* and *I* silencers, yet Sir1 is not absolutely required for silencing. In the absence of Sir1, a clonal population of cells achieves an equilibrium with two heritably different subpopulations of cells, one with and one without silencing at *HML* and *HMR* (PILLUS and RINE 1989; XU *et al.* 2006). Telomeric silencing, which is a weaker form of silencing than that at *HML* and *HMR*, requires Sir2, Sir3, and Sir4 but not Sir1 (GOTTSCHLING *et al.* 1990; APARICIO *et al.* 1991). Nevertheless, tethering of a Sir1-Gal4 fusion protein to a telomere strengthens its silencing (CHIEN *et al.* 1993).

Silencing mechanisms in yeasts range from the Sir-based mechanism of *Saccharomyces cerevisiae*, to the RNAi-based mechanism of *Schizosaccharomyces pombe*. With the exception of Sir2/Hst4, the structural proteins of heterochromatin in *Saccharomyces* bear little sequence similarity to the heterochromatin proteins in *S. pombe*. Some progress has been made toward understanding the evolution of silencing, revealing a function of Sir2 and Sir4 proteins at least through genera as diverged from *S. cerevisiae* as *Kluyveromyces lactis* (ÅSTRÖM and RINE 1998). Sir1 homologs have not previously been identified in any species outside of the whole-genome-duplication clade of yeasts (BOSE *et al.* 2004; FABRE *et al.* 2005). In *S. cerevisiae*, Sir protein-based heterochromatin can be replaced by compositionally unique but functionally equivalent heterochromatin just by changing a single amino acid in the meiotic repressor Sum1 (RUSCHE and RINE 2001). Given that Sir-based silencing can so easily be replaced, we undertook a study of heterochromatin and silencing in closely related species in search of insights into heterochromatin formation that have been inaccessible in comparisons among more distant species.

Our analysis of the genome sequences of yeast species that contain silent mating-type cassettes at *HML* and *HMR* (CLIFTEN *et al.* 2003; KELLIS *et al.* 2003) revealed that a family of *SIR1* paralogs arose some time after the evolution of silent mating cassettes. In this study we explored the evolution of Sir1 with a focus on *S. bayanus*. *S. cerevisiae* and *S. bayanus* diverged after the genome duplication in hemiascomycetes (WOLFE and SHIELDS 1997) and after the appearance of Sir1. On the basis of the extent of protein sequence divergence, *S. bayanus* is approximately as closely related to *S. cerevisiae* as mouse is to human. *S. bayanus* encodes single orthologs of Sir2 [plus its Homolog of Sir two (Hst) orthologs], Sir3, and

Sir4. However, instead of one Sir1 protein as in *S. cerevisiae*, *S. bayanus* and other *Saccharomyces* species contain up to four Sir1 orthologs not previously identified (BOSE *et al.* 2004). We tested whether the role of the single Sir1 in silencing in *S. cerevisiae* has been subdivided into multiple paralogs in other species, or whether these paralogs have other functions, and explored the possible implications of the positions of *SIR1* orthologs in the genome on their expression.

MATERIALS AND METHODS

Yeast strains, oligonucleotides, sequences, and plasmids: *S. cerevisiae* and *S. bayanus* strains are listed in Table 1, oligonucleotide sequences are listed in supplemental Table 1, and Sir1 orthologs and paralogs accession numbers are listed in supplemental Table 2. All *S. bayanus* strains were derived from CBS7001. Plasmids used in this study were based on pRS316 and are listed in supplemental Table 3. *S. bayanus* genes were knocked out by single-step gene replacement with *HPH^r*, *KAN^r*, *S. pombe HIS5*, or *Candida albicans URA3* (GOLDSTEIN and McCUSKER 1999; GOLDSTEIN *et al.* 1999), and epitope tagging with FLAG tag was carried out in a similar manner.

Zygosaccharomyces rouxii KOS3 was sequenced on a plasmid clone isolated from a genomic DNA library of strain CBS 732. The *S. bayanus KOS3* sequence in GenBank (accession no. AACG02000101) has an error that results in a frameshift in the ORF. We sequenced the gene, identified the error, and deposited the corrected sequence (accession no. EU880229). The *S. bayanus SIR4* sequence in GenBank (accession nos. AACAA01000411 and AACAA01000334) was on two contigs. Therefore we cloned and sequenced the complete gene (accession no. FJ472632).

Sequence analysis: Sir1 family protein sequences were aligned by Clustal W. All species contained one gene with substantially greater similarity to *S. cerevisiae* Sir1 than the paralogs, with *E*-values between 9.5e-272 and 2.6e-206 via BLASTp (Figure 1); the *E*-values from comparison of Sir1 to Kos proteins were between 3.7e-25 and 2e-9.

Growth and transformation of *S. bayanus*: *S. bayanus* strain CBS7001 was used in this study. Standard *S. cerevisiae* yeast media and lithium acetate transformation conditions were used, except cells were heat-shocked for 5 min during transformations and subsequently grown at 25°. The coding region for the FLAG epitope was fused at the 3' end of each Sir1 orthologous ORF in *S. bayanus*.

Site-directed mutagenesis: M1R, M25R, and M25A mutations were made in *S. cerevisiae SIR1* in pRS316 (GARDNER and FOX 2001). Independently isolated mutant plasmids from Quick-change PCR (Stratagene) were sequenced to confirm the point mutation and transformed into the *sir1::LEU2* strain (JRY4621). *S. cerevisiae SIR1* was mutagenized to create M1R (pJR2793), M25R (pJR2794-5), and M25A (pJR2796-7).

Immunoblots: A *S. cerevisiae sir1* strain (JRY4621) was transformed with pRS316 with *S. cerevisiae SIR1-HA* (pJR2793-7) and grown in supplemented minimal medium lacking uracil (CSM -Ura selective medium). Sir1-3xHA was immunoprecipitated from whole-cell extracts with anti-HA resin and detected on an immunoblot with anti-HA antibody (SHARP *et al.* 2002, 2003). *S. bayanus* Sir1 paralogs tagged with the FLAG epitope were immunoprecipitated from whole-cell extracts with anti-FLAG M2 resin and detected by immunoblotting with rabbit anti-FLAG antibody (Sigma).

Chromatin immunoprecipitation: Cultures were grown in rich medium (YPD) to mid-log phase, and 50 OD₆₀₀ units of

TABLE 1
List of strains

Name	Species (alias)	Genotype
JRY4621	<i>S. cerevisiae</i>	<i>MATα sir1::LEU2 can1-100, his3-111 leu2-3,112, lys2Δ, trp1-1, ura3-1</i>
CBS7001	<i>S. bayanus</i>	<i>MATα/MATα</i> prototroph
JRY8145	<i>S. bayanus</i>	<i>MATα ho::NAT, leu1-1</i>
JRY8146	<i>S. bayanus</i>	<i>MATα ho::NAT, leu1-1</i>
JRY8147	<i>S. bayanus</i>	<i>MATα ho::NAT, ade2-2, his3-1, lys2-5, ura3-1</i>
JRY8148	<i>S. bayanus</i>	<i>MATα ho::NAT, ade2-2, his3-1, lys2-5, ura3-1</i>
JRY8149	<i>S. bayanus</i>	<i>MATα ho::NAT, his3-1, lys2-5, ura3-1</i>
JRY8150	<i>S. bayanus</i>	<i>MATα ho::NAT his3-1, lys2-5, ura3-1</i>
JRY8151	<i>S. bayanus</i>	<i>MATα ho::NAT, ade2-2, his3-1, lys2-5, trp-1, ura3-1</i>
JRY8152	<i>S. bayanus</i>	<i>MATα ho::NAT, ade2-2, his3-1, lys2-5, trp-1, ura3-1</i>
JRY8153	<i>S. bayanus</i>	<i>MATα ho::NAT, his3-1, lys2-5, trp-1, ura3-1</i>
JRY8154	<i>S. bayanus</i>	<i>MATα ho::NAT, his3-1, lys2-5, trp-1, ura3-1</i>
JRY8155	<i>S. bayanus</i>	JRY8153 <i>SIRI-FLAG::KAN</i>
JRY8157	<i>S. bayanus</i>	JRY8153 <i>KOS1-FLAG::KAN</i>
JRY8159	<i>S. bayanus</i>	JRY8153 <i>KOS2-FLAG::KAN</i>
JRY8161	<i>S. bayanus</i>	JRY8153 <i>KOS3-FLAG::KAN</i>
JRY8165	<i>S. bayanus</i>	JRY8149 <i>sir1::HPH</i>
JRY8166	<i>S. bayanus</i>	JRY8150 <i>sir1::HPH</i>
JRY8169	<i>S. bayanus</i>	JRY8149 <i>kos1::HPH</i>
JRY8170	<i>S. bayanus</i>	JRY8149 <i>kos2::HPH</i>
JRY8173	<i>S. bayanus</i>	JRY8149 <i>kos2::HPH</i>
JRY8174	<i>S. bayanus</i>	JRY8150 <i>kos2::HPH</i>
JRY8177	<i>S. bayanus</i>	JRY8149 <i>kos3::HPH</i>
JRY8178	<i>S. bayanus</i>	JRY8150 <i>kos3::HPH</i>
JRY8181	<i>S. bayanus</i>	JRY8153 <i>sir1::HIS5, kos1::KAN, kos2::HPH, kos3::URA3</i>
JRY8182	<i>S. bayanus</i>	JRY8154 <i>sir1::HIS5, kos1::KAN, kos2::HPH, kos3::URA3</i>
JRY8185	<i>S. bayanus</i>	JRY8153 <i>sir1::HIS5, kos1::KAN, kos2::HPH</i>
JRY8186	<i>S. bayanus</i>	JRY8154 <i>sir1::HIS5, kos1::KAN, kos2::HPH</i>
JRY8189	<i>S. bayanus</i>	JRY8153 <i>sir1::HIS5, kos1::KAN, kos3::URA3</i>
JRY8190	<i>S. bayanus</i>	JRY8154 <i>sir1::HIS5, kos1::KAN, kos3::URA3</i>
JRY8193	<i>S. bayanus</i>	JRY8153 <i>sir1::HIS5, kos2::HPH, kos3::URA3</i>
JRY8194	<i>S. bayanus</i>	JRY8154 <i>sir1::HIS5, kos2::HPH, kos3::URA3</i>
JRY8197	<i>S. bayanus</i>	JRY8153 <i>kos1::KAN, kos2::HPH, kos3::URA3</i>
JRY8198	<i>S. bayanus</i>	JRY8154 <i>kos1::KAN, kos2::HPH, kos3::URA3</i>
JRY8201	<i>S. bayanus</i>	JRY8153 <i>sir1::HIS5, kos1::KAN</i>
JRY8202	<i>S. bayanus</i>	JRY8154 <i>sir1::HIS5, kos1::KAN</i>
JRY8205	<i>S. bayanus</i>	JRY8153 <i>sir1::HIS5, kos2::HPH</i>
JRY8206	<i>S. bayanus</i>	JRY8154 <i>sir1::HIS5, kos2::HPH</i>
JRY8209	<i>S. bayanus</i>	JRY8153 <i>kos1::KAN, kos3::URA3</i>
JRY8210	<i>S. bayanus</i>	JRY8154 <i>kos1::KAN, kos3::URA3</i>
JRY8213	<i>S. bayanus</i>	JRY8153 <i>sir1::HIS3, kos3::URA3</i>
JRY8214	<i>S. bayanus</i>	JRY8154 <i>sir1::HIS3, kos3::URA3</i>
JRY8217	<i>S. bayanus</i>	JRY8153 <i>kos1::KAN, kos3::URA3</i>
JRY8218	<i>S. bayanus</i>	JRY8154 <i>kos1::KAN, kos3::URA3</i>
JRY8221	<i>S. bayanus</i>	JRY8153 <i>kos2::HPH, kos3::URA3</i>
JRY8222	<i>S. bayanus</i>	JRY8154 <i>kos2::HPH, kos3::URA3</i>
JRY8237	<i>S. bayanus</i>	JRY8150 <i>matα::URA3, hml:HIS5</i>
JRY8238	<i>S. bayanus</i>	JRY8150 <i>matα::URA3, hml:HIS5, sir4::KAN</i>
JRY8239	<i>S. bayanus</i>	JRY8150 <i>matα::URA3, hml:HIS5, sir1::HPH</i>
JRY8240	<i>S. bayanus</i>	JRY8150 <i>matα::URA3, hml::HIS5, kos1::HPH</i>
JRY8241	<i>S. bayanus</i>	JRY8150 <i>matα::URA3, hml::HIS5, kos2::HPH</i>
JRY8242	<i>S. bayanus</i>	JRY8150 <i>matα::URA3, hml::HIS5, kos3::HPH</i>
JRY8243	<i>S. bayanus</i>	JRY8149 <i>hmra1:URA</i>
JRY8244	<i>S. bayanus</i>	JRY8149 <i>hmra1:URA3, sir4::KAN</i>
JRY8245	<i>S. bayanus</i>	JRY8149 <i>hmra1:URA3, sir1::HPH</i>
JRY8246	<i>S. bayanus</i>	JRY8149 <i>hmra1:URA3, kos1::HPH</i>
JRY8247	<i>S. bayanus</i>	JRY8149 <i>hmra1:URA3, kos2::HPH</i>
JRY8248	<i>S. bayanus</i>	JRY8149 <i>hmra1:URA3, kos3::HPH</i>
JRY8249	<i>S. bayanus</i>	JRY8149 <i>matα::HIS5, hmra1:URA</i>

(continued)

TABLE 1
(Continued)

Name	Species (alias)	Genotype
JRY8250	<i>S. bayanus</i>	JRY8149 <i>mata::HIS5, hmra1::URA3, sir4::KAN</i>
JRY8251	<i>S. bayanus</i>	JRY8149 <i>mata::HIS5, hmra1::URA3, sir1::HPH</i>
JRY8252	<i>S. bayanus</i>	JRY8149 <i>mata::HIS5, hmra1::URA3, kos1::HPH</i>
JRY8253	<i>S. bayanus</i>	JRY8149 <i>mata::HIS5, hmra1::URA3, kos2::HPH</i>
JRY8254	<i>S. bayanus</i>	JRY8149 <i>mata::HIS5, hmra1::URA3, kos3::HPH</i>
JRY8255	<i>S. bayanus</i>	JRY8149 <i>hmra1::URA3, sir1::KAN, kos1::HPH</i>
JRY8257	<i>S. bayanus</i>	JRY8149 <i>hmra1::URA3, sir1::HIS, kos2::HPH</i>
JRY8259	<i>S. bayanus</i>	JRY8149 <i>hmra1::URA3, sir1::HYG, kos3::HIS</i>
JRY8261	<i>S. bayanus</i>	JRY8149 <i>hmra1::URA3, kos1::KAN, kos2::HPH</i>
JRY8263	<i>S. bayanus</i>	JRY8149 <i>hmra1::URA3, kos1::KAN, kos3::HPH</i>
JRY8265	<i>S. bayanus</i>	JRY8149 <i>hmra1::URA3, kos2::HPH, kos3::HIS</i>
JRY8269	<i>S. bayanus</i>	JRY8153 <i>sir1::HYG, kos3::KAN</i>
JRY8273	<i>S. bayanus</i>	JRY8153 <i>kos1::HYG, kos3::KAN</i>
JRY8277	<i>S. bayanus</i>	JRY8153 <i>kos2::HYG, kos3::KAN</i>
JRY8279	<i>S. bayanus</i>	JRY8153 <i>SIR3-FLAG</i>
JRY3352	<i>S. cerevisiae</i>	<i>MATa, hmr::TRP1 sir1::LEU2, leu2-3,112, his3-11, lys2Δ, trp1-1, ura3-1, can1-100</i>
JRY8951	<i>S. cerevisiae</i>	<i>MATa, hmlα2::ADE2 sir1::LEU2, leu2-3,112, his3-11, lys2Δ, trp1-1, ura3-1, can1-100</i>

cells with FLAG-tagged Sir1, Kos1, Kos2, Kos3, or Sir3, and were treated with 1% formaldehyde for 2 hr. Chromatin immunoprecipitation was then carried out as in KURAS and STRUHL (1999). The co-immunoprecipitated DNA was amplified with primers specific to the predicted *E* and *I* elements of *HML* and *HMR* from *S. bayanus*. Values shown are fold enrichment from biological triplicates relative to the actin gene, *ACT1*.

Quantitative-reverse transcription PCR: Total RNA was isolated by hot phenol extraction (AUSUBEL *et al.* 1995) from cultures at mid-log phase. Once precipitated, 10 μg of RNA were treated with 4 μl of Invitrogen RNase-free DNase for 20 min at room temperature and then precipitated in ethanol. The resulting 2 μg of RNA was then converted to cDNA using Invitrogen SuperScript III First-Strand kit. The 10 ng of cDNA was amplified in triplicate using specific primers in Finnzyme SYBR Green on a Stratagene MX3000 real-time PCR system. *ACT1* mRNA was used for normalization.

Mating assay: Cells were grown overnight in YPD and then diluted to 1×10^7 cells/ml and then serially diluted threefold onto minimal medium either along with 1×10^7 cells/ml of a mating-type-tester strain or spotted onto a lawn of tester strain. This method allowed semiquantitative measurement of relative mating strength of both mating types. Plates were photographed after 3 days at 25°. To test for cross-species complementation by *S. cerevisiae SIR1* in *MATa S. bayanus*, mutants were transformed with empty plasmid or plasmid encoding *S. cerevisiae SIR1*. Cells were grown on selective medium overnight and then replica plated onto YM and the *MATα* mating-type tester.

Shmoo assay: A line of *MATα* cells were streaked onto solid YPD and incubated for 2 hr. Then 50–100 small unbudded freshly grown *MATα* cells were micromanipulated next to the *MATα* cells. The majority of *MATα* cells either arrested division and formed a shmoo or continued division, forming a bud,

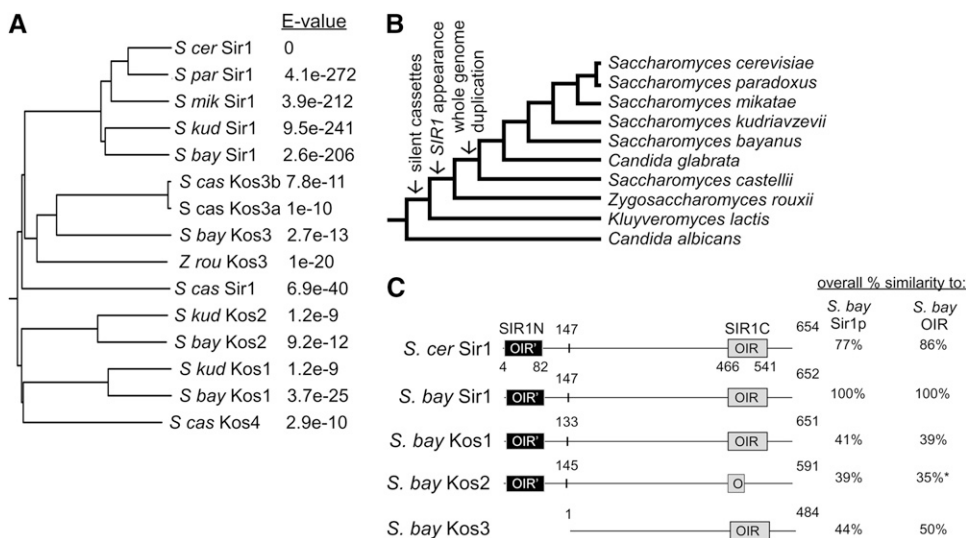


FIGURE 1.—*SIR1* family represented a rapidly evolving family of paralogs that diverged after the whole-genomewide duplication. (A) NJ bootstrap tree of *SIR1* paralogs with *E*-value of alignment with *S. cerevisiae* Sir1. (B) Evolutionary tree of several *Saccharomyces* species and other yeast (WOLFE 2006). (C) Representation of *S. cerevisiae* Sir1 protein and paralogs from *S. bayanus*. Sir1 protein from *S. bayanus* was aligned with Sir1 from *S. cerevisiae* and with paralogous sequences from *S. bayanus*, called Kos1–3 (*Kin of Sir1*). The OIR is boxed. The amino-terminal duplication of the OIR is represented by a solid box labeled OIR'. Amino acid similarity to

the full-length *S. bayanus* Sir1 protein and to its OIR was determined by BLAST. *Kos2 contained significant gaps in the OIR alignment compared to *S. cerevisiae* OIR. The similarity to *S. bayanus* OIR was based only on the partial alignment.

TABLE 2
Pairwise alignment of Sir1 orthologs and paralogs

	S cer Sir1	S kud Sir1	S bay Sir1	S cas Sir1	S kud Kos1	S bay Kos1	S kud Kos2	S bay Kos2	S bay Kos3	S cas Kos3a	S cas Kos3b	Z rou Kos3
S par Sir1	77	67	61	23	22	22	21	22	23	21	22	23
S mik Sir1	67	63	61	21	23	22	21	22	21	22	22	23
S kud Sir1	63											
S bay Sir1	58	66										
S cas Sir1	22	24	24									
S kud Kos1	22	21	22	23								
S bay Kos1	23	21	22	24	65							
S kud Kos2	18	20	21	22	21	21						
S bay Kos2	22	20	21	21	26	25	62					
S bay Kos3	25	19	21	21	22	22	19	20				
S bay Kos3a	25	21	23	21	24	20	19	21	37			
S cas Kos3b	25	20	23	21	24	21	19	21	37	98		
Z rou Kos3	22	21	26	22	21	22	20	21	29	29	26	
S cas Kos4	24	23	25	24	26	26	24	23	22	23	23	23

Sequence identity and similarity of Sir1 orthologs and Kos paralogs. All Sir1 family members from *S. cerevisiae*, *S. kudriavzevii*, *S. bayanus*, *S. castellii*, and *Z. rouxii* were aligned by BLASTp. Numbers shown represent percentage of identity. Sequences with identity >50% are in boldface type and between 26% and 29% are in italics.

which upon the completion of cell division resulted in a pair of shmoo (plural of shmoo). A small fraction of cells arrested division without forming a shmoo and hence were ambiguous with respect to *HML* silencing.

RESULTS

The availability of sequenced genomes from closely related yeast species allowed us to examine the evolution of proteins with roles in heterochromatic silencing in the *Saccharomyces sensu stricto* species. On the basis of the best matches from reciprocal BLAST analysis, the Sir2, Sir3, and Sir4 genes of *S. cerevisiae* each had one obvious ortholog in each of the *sensu stricto* species. For Sir2 the extent of amino acid sequence divergence was characteristic of the genome as a whole (82% identical between *S. bayanus* and *S. cerevisiae*), whereas Sir4 and Sir3 were more diverged than the other silencing proteins (43 and 59% identical, respectively; O. ZILL and J. RINE, unpublished results). In contrast to these three silencing proteins, the Sir1 family has undergone dramatic expansions and contractions in the different species.

Organization of SIR1 homologs in Saccharomyces species: The gene in each species with the highest degree of similarity to *S. cerevisiae*'s *SIR1* was designated *SIR1*, with its paralogs referred to as *KOS* for *kin of Sir1*. We found *SIR1* orthologs in *S. paradoxus*, *S. mikatae*, *S. kudriavzevii*, *S. bayanus*, and *S. castellii* (Figure 1A). The *SIR1* genes from these yeast species all contained the C-terminal OIR (GARDNER *et al.* 1999) which binds the BAH domain of Orc1 (BOSE *et al.* 2004) and the N-terminal duplication of the OIR, called OIR' (or SIR1N) (CONNELLY *et al.* 2006). The Sir1's from the different

species were between 77 and 58% identical to *S. cerevisiae* Sir1 across the entire protein sequence (Table 2). *SIR1* was not found in *C. glabrata* (Figure 1B), which contains silenced mating-type cassettes and shared a common ancestor with *S. cerevisiae* after the whole-genome duplication (BUTLER *et al.* 2004; CONANT and WOLFE 2006). The Sir1 found in *S. castellii* was the most divergent ortholog (BOSE *et al.* 2004).

Surprisingly, most species contained additional paralogs of Sir1. The paralogs of *SIR1* within each species were designated *KOS* for *kin of Sir1*, followed by a number. The Kos proteins encoded by three genes shared obvious similarity to Sir1 in the C-terminal OIR domain (Figure 1C). The Kos proteins were distinguished from *S. cerevisiae* Sir1 protein either by their weaker similarity to the Sir1-defining N-terminal OIR' of *S. cerevisiae* or in the case of Kos3, by the absence of an OIR'. Nevertheless the N termini of Kos1, Kos2, and Kos4 all had similar lengths to Sir1 and aligned to the OIR' region of Sir1 (supplemental Figure 1). The *S. bayanus* genome encoded the most divergent set of Sir1-related proteins, one Sir1 and three Kos proteins.

Phylogenetic analysis (Figure 1A) revealed that the Sir1, Kos1, Kos2, Kos3, and Kos4 proteins define five clades, all approximately equidistant from each other (Table 2). The distribution of genes among species was variable: among the *sensu stricto* species, Sir1 is present in all five species but Kos1 is present in only two species. This pattern implies multiple gene losses during evolution. *S. castellii* lacked *KOS1* and *KOS2* but had another gene, *KOS4*, a *KOS3* paralog discussed later.

Origin and evolution of the Sir1 family from the Kos3 founder: *S. bayanus*, *S. castellii*, and *Z. rouxii* contained a further *SIR1*-related gene, designated

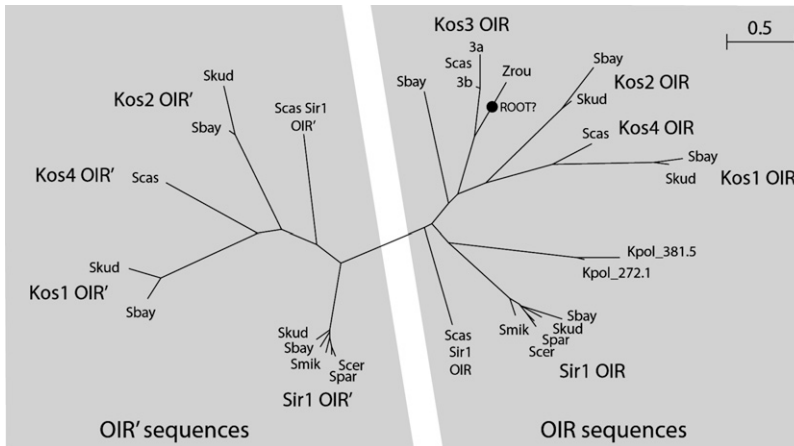


FIGURE 2.—Phylogenetic tree of OIR and OIR' domains. A possible position for the root, based on the species phylogeny, is marked. The domains (94- to 123-residues long) were aligned using MUSCLE (EDGAR 2004). The tree was constructed by maximum likelihood using PHYML (GUINDON and GASCUEL 2003) using the JTT substitution model and four rate classes. Branch lengths are drawn to scale, indicating the number of amino acid substitutions per site. Bootstrap support for the branch separating OIR and OIR' domains was 94% (100 replicates).

KOS3, which was much shorter than the other *SIR1* and *KOS* genes. The predicted *Kos3* protein lacked the first 145 amino acids corresponding to the OIR' domain of *Sir1* (Figure 1C and supplemental Figure 1) (CONNELLY *et al.* 2006). However, the N termini of the *Kos3* proteins were strongly conserved relative to each other (supplemental Figure 1). The remainder of the *Kos3* proteins were 22–25% identical to *Sir1* proteins and were slightly more similar than the other *Kos1* and *Kos2* proteins to *S. cerevisiae* *Sir1* (Table 2). *S. castellii* contained two nearly identical *KOS3* genes (*KOS3a* and *KOS3b*), encoding proteins differing in only five amino acids. Among the *Sir1* and *Kos* paralogs, the *Kos3* proteins were the most diverged between species.

Z. rouxii *KOS3* was apparently the only member of the *Sir1*/*Kos* family in a species that did not undergo the whole genome duplication. The *Z. rouxii* genome has not yet been completely sequenced, but shotgun coverage to approximately 1× depth on two different strains (BUTLER *et al.* 2004; GORDON and WOLFE 2008) did not reveal any additional members of the family in this species. This observation raised the possibility that *Z. rouxii* *Kos3* was an outgroup to all the other sequences in our analysis and therefore that *Kos3* represented the ancestral gene. By this view, other family members originated from it by duplication and diversification. It was interesting that *Kos3* contains only a single OIR domain. To investigate the timing of the OIR tandem domain duplication relative to the gene duplication and speciation events, we constructed a phylogenetic tree of the OIR and OIR' domains themselves (Figure 2). In this analysis we included OIR-like domains from two proteins of *K. polysporus*, a postwhole-genome-duplication species that lacked full-length *Sir1*/*Kos* homologs but which contained two proteins in which an OIR domain was fused to a putative helicase similar to *S. cerevisiae* *Yrf1* helicase. The OIR and OIR' domains are short, making reliable phylogenetic analysis difficult, but the unrooted tree (Figure 2) showed a clear separation of OIR domains from OIR' domains, which indicated that the two-domain structure originated only

once during evolution. This topology confirmed that the divergent N termini of *Kos1*, *Kos2*, and *Kos4* indeed contained OIR' sequences. The single OIR of *Kos3* clustered with the clade of C-terminal OIRs. When we rooted the tree on the *Z. rouxii* branch as suggested above, the tree's branch lengths implied massive acceleration of the rate of sequence evolution of both the OIR and the OIR' domains after the genome and domain duplications.

The variation in the number of *Sir1* paralogs in the different species was striking. The most parsimonious interpretation, given the species tree, was that the expansion of the *Sir1* family occurred prior to the ancestor of the *sensu stricto* species, but that many gene copies were later eliminated, including loss of the entire set of *KOS* genes on the branch leading to *S. cerevisiae*, *S. paradoxus*, and *S. mikatae*. Furthermore, considering the existence of *KOS3* in *Z. rouxii* and of paralogs in *S. castellii*, *Sir1* and its paralogs were all presumably lost in *C. glabrata*.

A revision of the *Sir1* protein primary structure: Comparison of all the *Sir1* and *Kos* proteins across the *Saccharomyces* species suggested that the sequence of *Sir1* of *S. cerevisiae* as represented in the *Saccharomyces* Genome Database (SGD) and earlier publications (STONE *et al.* 1991; GARDNER *et al.* 1999; GARDNER and FOX 2001; HOU *et al.* 2005; HSU *et al.* 2005; CONNELLY *et al.* 2006) contains a 24 amino acid N-terminal extension compared to most other species. The *S. cerevisiae* *SIR1* ORF contains three in-frame methionine codons, 1 (Met1), 5 (Met5), and 25 (Met25) residues into the ORF, the latter of which corresponded to the first methionine codon in the *Sir1* ORFs of other species (Figure 3A). Given that some *Saccharomyces* species had multiple *Sir1* paralogs, the three in-frame methionine codons in the putative N-terminal extension of *S. cerevisiae* suggested that it might produce two *Sir1* proteins of differing length from the same gene by translating from different start codons. We changed two potential start codons, Met1 and Met25, to arginine or serine codons by site-directed mutagenesis of a C-

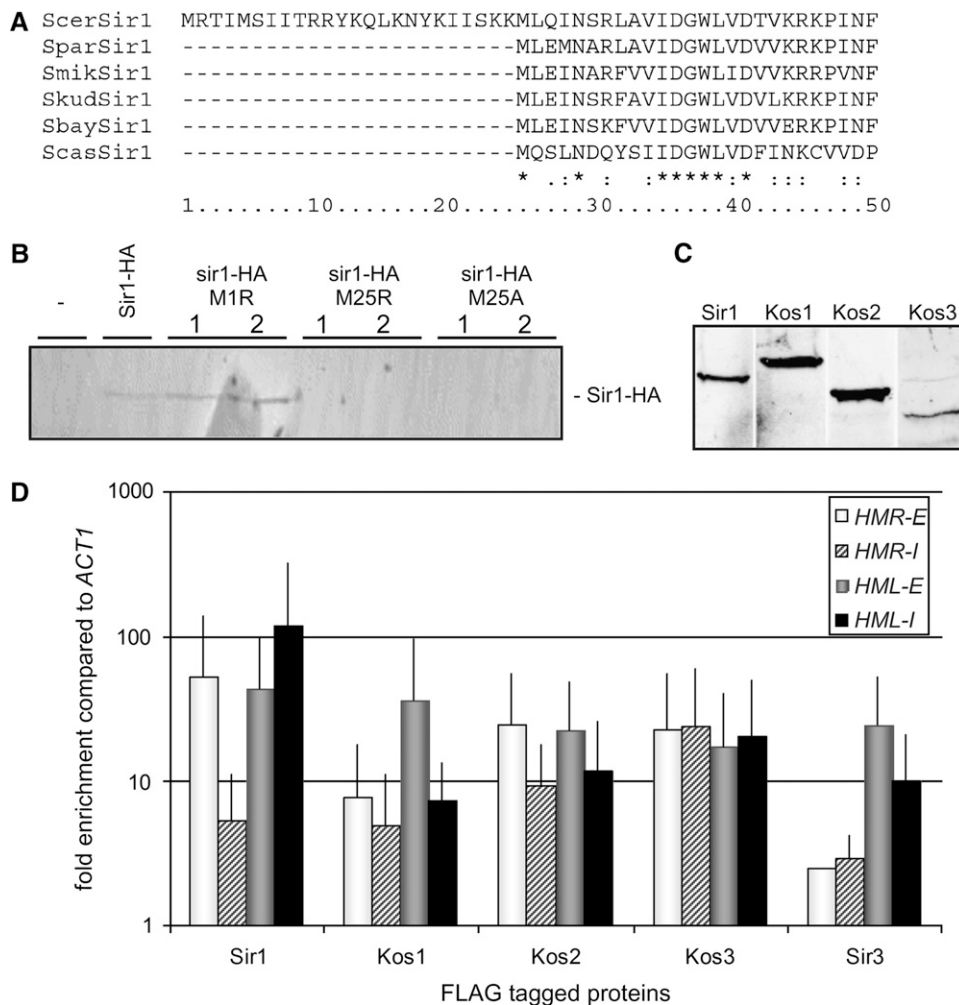


FIGURE 3.—Expression, translation, and silencer localization of *S. cerevisiae* SIR1 and the four paralogs of SIR1 in *S. bayanus*. (A) Clustal W alignment of N terminus of Sir1 orthologs as provided from the Saccharomyces Genome Database. (B) Immunoblot of *S. cerevisiae* Sir1 immunoprecipitated from yeast expressing C-terminally HA-tagged SIR1 or mutated *sir1* from a plasmid. (C) Immunoblot of FLAG-tagged Sir1 and Kos proteins immunoprecipitated. Kos1 migrated anomalously slower than Sir1. Kos1 had a calculated pI of 7.25 compared to 5.55 of Sir1, 5.2 of Kos2, and 7.9 of Kos3. (D) Chromatin immunoprecipitations of FLAG-tagged *S. bayanus* Sir1 paralogs at HML and HMR silencer.

terminally epitope-tagged version of *S. cerevisiae* SIR1 (*SIR1-3xHA*) (GARDNER and FOX 2001) and found that HA-tagged Sir1 of identical mobility was detected from cells expressing the wild-type ORF and the Met1-Arg mutant ORF. No Sir1-3xHA protein was detected either in cells carrying a vector control or in cells with a SIR1 gene in which the Met25 codon was mutated to arginine or serine codon (Figure 3B). Therefore, amino acids 1–24 of *S. cerevisiae*'s Sir1 ORF were not translated into protein from *S. cerevisiae* SIR1 mRNA. *S. paradoxus* Sir1 ORF, which is slightly longer at the N terminus than the revised Sir1 ORF of *S. cerevisiae*, also contains a methionine codon at the position corresponding to the start codon of Sir1 from all other species. We inferred that the N-terminal extension in this species was an annotation artifact, and a corrected sequence was included in supplemental Figure 1.

Localization of Sir1 and Kos proteins at the silencers: Because *S. bayanus* contained the most diverse set of SIR1 and KOS genes (Figure 1A), we investigated their roles in formation of silenced chromatin. As a first step, we created a series of C-terminal FLAG-tagged versions of *S. bayanus* Sir1, Kos1, Kos2, and Kos3, all of which were functional in complementing the pheno-

type of null alleles of the corresponding genes (see below), and evaluated their expression by immunoblotting with anti-FLAG antibody (Figure 3C). As for *S. cerevisiae* Sir1 protein (GARDNER and FOX 2001), the *S. bayanus* Sir1 and Kos proteins were expressed at low levels and could be visualized only by immunoblotting of immunoprecipitated samples. There was a surprising difference in gel migration of Sir1, Kos1, and Kos2, all of which had similar calculated molecular weights.

Having established that all four paralogs were translated, we assayed their localization at the *S. bayanus* silencers. In *S. cerevisiae*, Sir1 binds to the *E* and *I* silencers of HML and HMR. The potential occupancy of the Sir1/Kos proteins of *S. bayanus* at the *E* and *I* silencers of HML and HMR was determined by chromatin immunoprecipitation (ChIP). As in *S. cerevisiae*, *E* and *I* silencers of *S. bayanus* each contain a match to the *S. cerevisiae* ARS consensus sequence, which serves as binding site for the ORC complex, and binding sites for Abf1 and Rap1 (TEYTELMAN *et al.* 2008). All four paralogs were found at all silencers, with rather high levels of enrichment relative to the *ACT1* control locus. Sir1, Kos1, and Kos2 showed preference for *E* elements, whereas Kos3 was found at similar levels at all four silencers (Figure 3D).

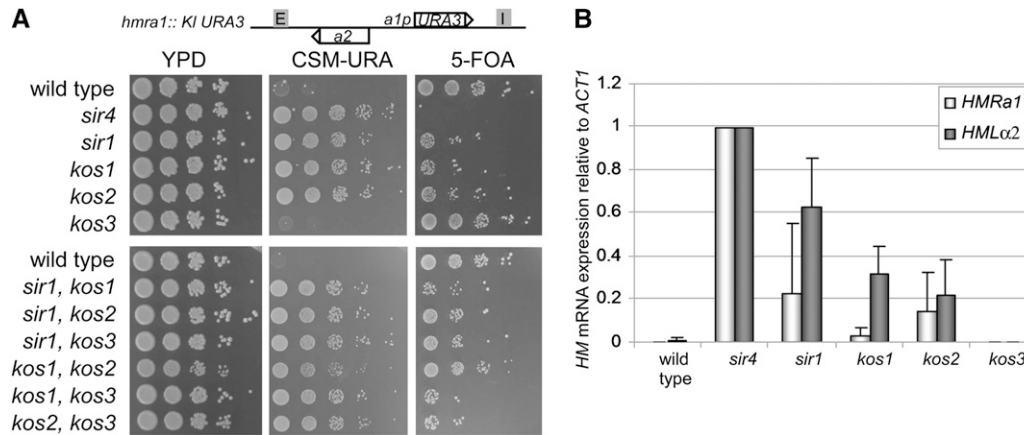


FIGURE 4.—Derepression of *HML* and *HMR* loci in *S. bayanus* mutants of *SIR1* paralogs. (A) Derepression of *hmra1::KI URA3* in *S. bayanus* allowed growth on CSM –Ura, and repression allowed growth on 5-FOA media. (B) Quantitative reverse transcription (QRT)-PCR of *HMLα2* mRNAs from *S. bayanus* lacking the *HMR* and *MAT* loci, and of *HMRα1* from cells lacking *HML* and *MAT* loci, normalized to levels in *sir4* cells.

Role of Sir1 and Kos proteins in silencing *HMR* and *HML*: Given the presence of all Sir1 paralogs at *HML* and *HMR*, we evaluated whether they shared the silencing function found in the single Sir1 protein of *S. cerevisiae* by several assays. In the first assay we used a strain of *S. bayanus* with the *K. lactis URA3* gene integrated in place of the *HMRα1* ORF, placing *URA3* under the control of the *a1* promoter. At this position, *URA3* is fully silenced in otherwise wild-type *S. bayanus* cells (O. ZILL, personal communication). The *hmra1::KI URA3* reporter strain was crossed with strains containing a deletion of *SIR1*, *KOS1*, *KOS2*, or *KOS3* genes. *sir1*, *kos1*, and *kos2* single mutants grew on minimal medium lacking uracil and grew poorly on medium containing 5-FOA (Figure 4A). *kos3* mutants were indistinguishable from wild type. Therefore *SIR1*, *KOS1*, and *KOS2* each contributed to silencing of *HMR*, but in no case did loss of one of these genes lead to full derepression.

Given that at least three of these genes contributed to silencing *HMR*, we tested whether their contributions were additive or otherwise by measuring the effect of all possible *sir1/kos* double mutants on *hmra1::KI URA3* expression. The most significant insight from the double-mutant analysis was that *kos2, kos3* double mutant had a greater silencing defect at *HMR* as it grew less on 5-FOA medium than either single mutant, revealing partially overlapping roles of Kos2 and Kos3 in silencing *HMR* (Figure 4A). In summary Sir1 paralogs were at the *HMR* silencer, and contributed to silencing of *HMRα1::KI URA3* reporter, although the contribution of Kos3 was masked by the contribution of Kos2.

To provide an independent assessment of the role of *S. bayanus SIR1* and *KOS* genes in silencing, we used quantitative reverse transcription (QRT)-PCR to measure *HML* and *HMR* silencing. This analysis required care to avoid complications from the autoregulation of mating-type genes by mating type itself. Specifically, compared to haploid cells, *MATα/α* diploids down-

regulate *a1* mRNA 50% and *α2* mRNA 15% in *S. bayanus* and in *S. cerevisiae* (J. E. G. GALLAGHER and O. ZILL, unpublished data). To circumvent the complications from autoregulation, *S. bayanus sir1/kos* mutant strains were made that contained no *MAT* locus and retained either *HMR* or *HML*, but not both. Expression from *sir4* mutants containing no *MAT* locus and only *HML* or *HMR* as a source of mating-type genes provided the benchmark for complete derepression.

HMLα2 was derepressed in *sir1* and *kos* mutants relative to the parental control, but less than in the *sir4* mutant (Figure 4B). Of the *sir1/kos* mutants, the *sir1* mutant exhibited the most derepression, with *kos1* and *kos2* mutants expressing similar levels of *HMLα2*. In *sir1, kos1*, and *kos2* mutants, *HMRα1* was derepressed to a lesser extent than in a *sir4* mutant, whereas there was no expression of *HMRα1* or *HMLα2* in *kos3* mutants (Figure 4B), as seen above for the *hmra1::KI URA3* reporter.

A comprehensive survey of mating defects in single, double, triple, and quadruple mutants of *sir1* and *kos* genes was determined by mating tests to *S. bayanus MATα* and *MATα* tester strains. With respect to *HML*, single *sir1* and *kos1* *MATα* mutants displayed a slightly reduced level of mating compared to wild type, *kos2*, or *kos3* mutants (Figure 5A, column 1), indicative of derepression of *HMLα*. Stronger mating defects were obvious in all double mutants that included the *kos3* null mutation. The *sir1 kos1* double mutant was more similar to the corresponding single mutants than any other double mutants were to their corresponding single mutants. Formally, it would appear that Sir1 and Kos1 were jointly required to perform a common function in silencing *HML*. In contrast, these analyses indicated that the contribution of Kos3 to *HML* silencing was the most dissimilar to the contributions of the other paralogs, since cells lacking both Kos3 and one other paralog were the most different from the corresponding single mutants. All single and multiple mutants had approx-

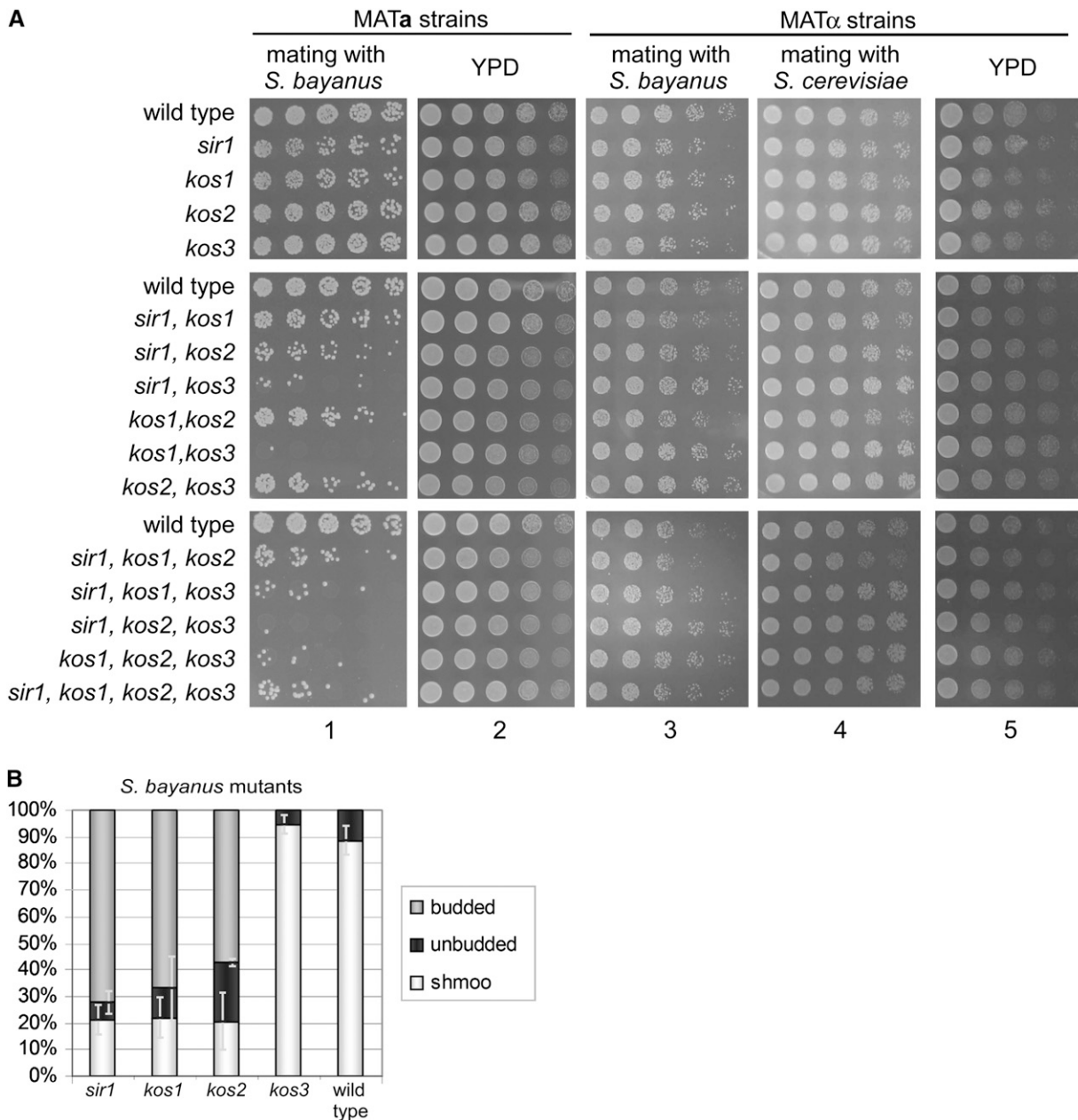


FIGURE 5.—Mating efficiencies of *S. bayanus* single, double, triple, and quadruple mutants of *SIRI* paralogs. (A) *S. bayanus* MAT α strains mated to a lawn of *S. bayanus* MAT α mating-type tester cells (column 1). *S. bayanus* MAT α strains were mated to a lawn of *S. bayanus* MAT α mating-type tester strain (column 3) and to a *S. cerevisiae* mating-type tester (column 4). Growth of mutants on YPD is shown in columns 2 and 5, at the same dilutions as used on the mating tester plates. (B) Efficiency of shmoo formation was measured for MAT α strains with single mutants of *SIRI* paralogs in the presence of α -factor. The percentage of cells that formed a shmoo is open and those that budded are shaded. Cells that remained small and failed to bud are solid. The standard deviation of cells that formed shmoo is shown to the left within each bar and the standard deviation of cells that budded is shown to the right.

imately the same plating efficiency as wild type. Thus, no combination of *SIRI* and *KOS* gene mutations had a measurable effect on viability under these conditions.

With respect to *HMR*, only slight mating defects were evident in mutant MAT α cells with combinations of mutations in the paralogs (Figure 5A, column 3). The modest mating defects in these MAT α cells indicated derepression of *HMR*. This result qualitatively mirrored the QRT-PCR and *hmra1::Kl URA3* reporter strain. The

parental *S. bayanus* strain contained a nonsense mutation in the *bar1* gene, which leads to hypersensitivity of MAT α stains to α -factor (ZILL and RINE 2008). To rule out any possible unknown influence of exaggerated cell-cycle arrest of MAT α tester cells, we repeated the mating assay with a *S. cerevisiae* MAT α mating-type tester lawn and found the same lack of strong derepression of *HMR* (Figure 5A, column 4). Hence all four genes from the *SIRI* family contributed to *HML* and *HMR* silencing,

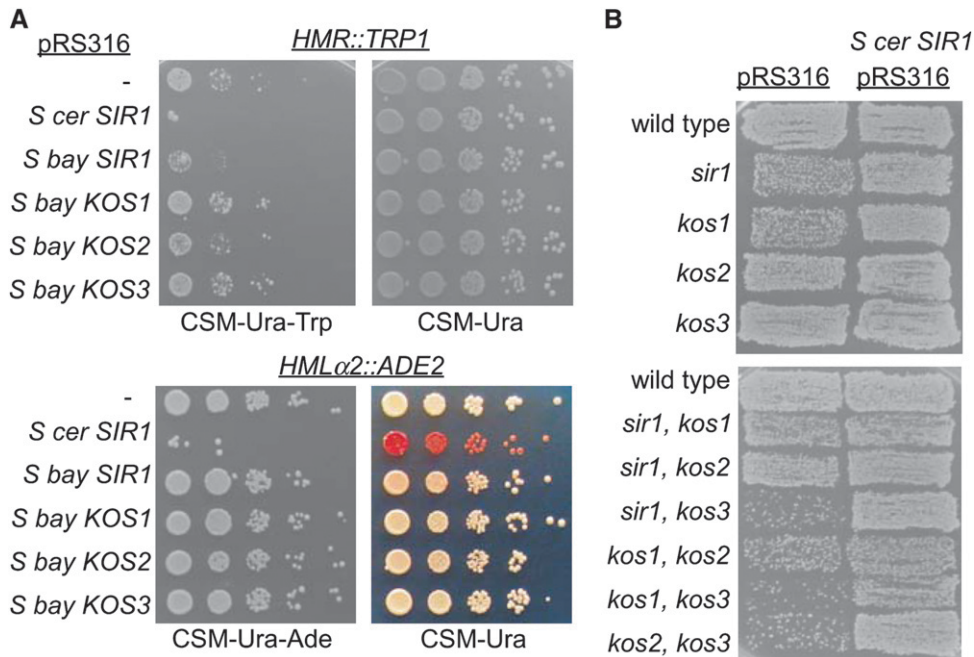


FIGURE 6.—Cross-species complementation of *SIR1* paralogs in *S. bayanus* and *S. cerevisiae*. (A) *S. cerevisiae sir1* yeast contain the reporter *hmr::TRP1* or *hmlα2::ADE2*, complemented by *S. bayanus SIR1* paralogs expressed from pRS316 plasmids. (B) Patch mating tests of *MATa* *S. bayanus sir1* or *kos* mutants carrying a plasmid with *S. cerevisiae SIR1*.

although no single assay was sufficient to reveal all the subtleties in the phenotype.

Analysis of silencing at the single-cell level: Conventional patch mating tests, most reporter assays, and QRT-PCR assays of gene expression evaluate the average phenotype of millions of cells, which can mask interesting variation at the single-cell level. Because of the mild mating defect in single mutants in *S. bayanus*, we evaluated potential defects in silencing at the single-cell level, by testing the ability of *MATa* *S. bayanus* mutants to respond to α -factor. *MATa* yeast in which *HMLα* is silenced form shmoo in the presence of α -factor. In contrast, loss of *HMLα* silencing confers α -factor resistance. Shmoo formation was decreased to <20% for *sir1*, *kos1*, and *kos2* single mutants, whereas wild-type and *kos3* mutants were indistinguishable (Figure 5B).

Cross-species complementation of *SIR1* paralogs: We tested the ability of the *SIR1* orthologs of *S. bayanus* to complement the silencing defect of a *S. cerevisiae sir1* mutant. Each *SIR1/KOS* gene with its promoter and terminator was amplified from genomic DNA and cloned into a CEN/ARS vector (pRS316 with the *URA3* marker). The plasmids were transformed into a *S. cerevisiae MATa sir1* mutant containing the *hmr::TRP1* reporter (SUSSEL and SHORE 1991) and 10-fold serial dilutions on CSM –Ura –Trp were used to measure silencing of *hmr::TRP1*. By this assay, *S. bayanus SIR1* increased silencing of the *hmr::TRP1* in *S. cerevisiae sir1* cells ~10-fold and expression of *KOS2* increased silencing ~5-fold, whereas expression of *KOS1* or *KOS3* had no effect (Figure 6A). We independently assayed complementation by *S. bayanus SIR1* and *KOS* genes with an *hmlα2::ADE2* reporter. While *S. cerevisiae* colonies expressing *SIR1* were red and did not grow on medium

lacking adenine, cells with *S. bayanus SIR1* were pink on YPD (an intermediate silencing phenotype) and grew on CSM –Ura –Ade media. In contrast, *S. bayanus KOS1*, *KOS2*, or *KOS3* had no ability to support silencing of *HML* in *S. cerevisiae*.

Patch mating assays were used to determine whether *S. cerevisiae SIR1* could complement the silencing defect of *sir1/kos* mutants of *S. bayanus* (Figure 1C). Rescue by *S. cerevisiae SIR1* of the mating defects in the *S. bayanus* double mutants revealed that *S. cerevisiae Sir1* retained most of the Sir1/Kos functions in silencing. Thus, a function of *SIR1/KOS* genes was conserved between *S. cerevisiae* and *S. bayanus*, with the extent of complementation not directly proportional to the extent of sequence similarity.

Telomere position effect on the regulation of *KOS* gene expression: In general, the map position of genes in the *Saccharomyces* genome has given few clues as to their function or regulation. However, the map position of some *SIR1* family members in *S. bayanus* and other species suggested the potential for a previously unrecognized form of heterochromatin regulation. *S. cerevisiae* and *S. bayanus* each contain 16 chromosomes, with only five translocations and three inversions distinguishing the species (FISCHER *et al.* 2000). Thus, there is sufficient conservation in gene order that we could infer the chromosomal location of the *SIR1* and *KOS* genes of *S. bayanus* and other species on the basis of the identities of flanking genes even though the genome of three other species is not yet fully assembled.

On the basis of chromosome coordinates from *S. cerevisiae*, we estimated that *SIR1*, *KOS1*, *KOS2*, and *KOS3* genes of *S. bayanus* were 23, 389, 61, and 7 kb pairs, respectively, from their nearest telomeres. The telomere proximity of the *KOS3* gene suggested it may be subject

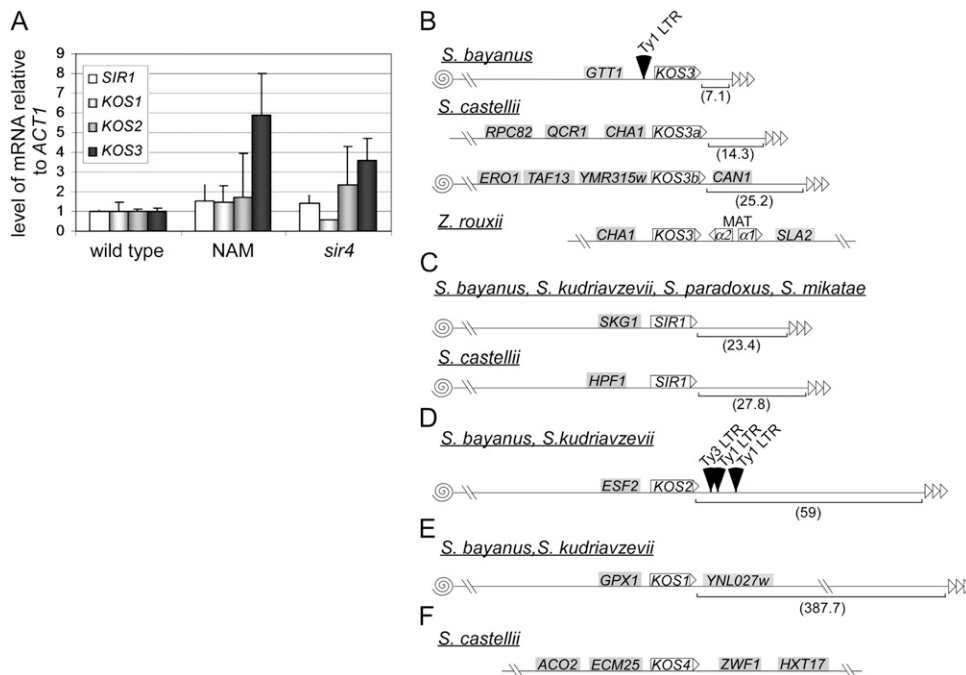


FIGURE 7.—Telomere-position-effect regulation of, and genomic organization of, *SIR1* and *KOS* paralogs in Saccharomyces and Zygosaccharomyces. (A) Transcriptional regulation of *SIR1* and *KOS* genes in *S. bayanus*. Levels of mRNA of *SIR1* and *KOS* genes were measured by QRT-PCR from cells treated with nicotinamide (NAM) or from cells containing a *sir4* mutation relative to *ACT1* mRNA and then normalized to wild-type cells. (B–F) Chromosomes are depicted as a line, with telomeres as triangles and centromeres as spirals. On the basis of genes encoded on the same contig and using sequence from *S. cerevisiae* genome, each paralog's distance in kilobases from the telomeres was predicted as indicated in parentheses below the chromosome. The positions of *S. cerevisiae* Ty elements are labeled above the chromosome as triangles.

gles. The names of each flanking gene is in a shaded box. (B) Predicted locations of *KOS3* paralogs in *S. bayanus*, *S. castellii*, and *Z. rouxii*. (C) Predicted locations of *SIR1* in *S. bayanus*, *S. kudriavzevii*, *S. paradoxus*, *S. mikatae*, and *S. castellii*. (D) Predicted locations of *KOS2* paralogs in *S. bayanus* and *S. kudriavzevii*. (E) Predicted locations of *KOS1* paralogs in *S. bayanus* and *S. kudriavzevii*. (F) Predicted locations of *KOS4* in *S. castellii*.

to telomeric position effect (TPE). To explore this possibility, the expression of the *SIR1/KOS* genes was measured in cells treated with nicotinamide (NAM), a competitive inhibitor of Sir2-dependent deacetylases, and in cells carrying a mutation in *SIR4* (Figure 7A). Expression of *SIR1*, *KOS1*, and *KOS2* was not significantly altered in nicotinamide-treated cells. In contrast, *KOS3* was derepressed almost sixfold in cells treated with nicotinamide, and 3.5-fold in *sir4* cells. *KOS1* and *KOS2* expression were only slightly affected in *sir4* mutants. Thus *KOS3* was one member of the *SIR1* family that was itself, notably regulated by heterochromatin, presumably in the form of a telomere position effect.

The genome organization of the *SIR1* family: To determine whether the telomere position of *KOS3* in *S. bayanus* was evolutionarily conserved and to gain insights into how *SIR1* family members were gained or lost, we extrapolated the position and genome organization of the *SIR1* gene family in the Saccharomyces genera (Figures 7, B–F).

The genomic location of *KOS3* differed in *S. bayanus*, *S. castellii*, and *Z. rouxii*. The telomere proximity of *KOS3* in *S. bayanus* was recapitulated in *S. castellii*, in which *KOS3* was duplicated, forming *KOS3a* and *KOS3b* (Figure 7B). Many *S. castellii* chromosomes were subject to rearrangements and gene loss (CLIFTEN *et al.* 2006) reducing the number of chromosomes from 16 to 9 (VAUGHAN-MARTINI *et al.* 1993). Therefore, positioning in this species by synteny to *S. cerevisiae* was less reliable. With this caveat, *KOS3a* was on the same contig as *CHA1*

and *QCR2*, which in *S. cerevisiae* are near telomeric sequences of chromosome III (*CHA1* is 15 kb from telomeric sequences) and XVI (*QCR2* is 22 kb from telomeric sequences). Genes on the contig with *S. castellii*'s *KOS3b* were between 20 kb (*YMR135w*) and 5 kb (*ERO1*) from telomeric sequences from both arms of chromosome XIII of *S. cerevisiae*. In *Z. rouxii*, *KOS3*, the presumptive founder of the *SIR1* family, was not telomeric but was found beside the mating-type locus (J. L. GORDON and K. H. WOLFE, unpublished results; GenBank accession no. AM989983), apparently resulting from a chromosomal rearrangement that involved a recombination between the *MAT* locus and a telomeric silent cassette.

SIR1 was at the same chromosomal location in *S. cerevisiae*, *S. bayanus*, *S. paradoxus*, *S. mikatae*, and *S. kudriavzevii*, ~23 kb pairs from the telomere (Figure 7C). The *SIR1* of *S. castellii* was flanked by genes whose orthologs in *S. cerevisiae* are from telomere-proximal regions of chromosomes X and XV. Therefore, *SIR1* was likely to be near a telomere in *S. castellii*, similarly to other species.

KOS2 from *S. bayanus* and *S. kudriavzevii* mapped to the telomere-proximal region of chromosome XVI, to the right of *ESF2*, ~59 kb pairs from the telomere (Figure 7D). In the genomes of *S. cerevisiae*, *S. paradoxus*, and *S. mikatae*, which did not have *KOS2* genes, there was a transposon at the corresponding region to the right of *ESF2*, suggesting a mechanism by which *KOS2* may have been lost from these closely related species.

KOS1 from *S. bayanus* and *S. kudriavzevii*, the only two species to share this family member, was between *GPX1* and *YNL027w* of *S. cerevisiae*, on the left arm of chromosome XI, 389 kb pairs from the closest telomere (Figure 7E). There were no obvious genomic clues to the origin or loss of *KOS1* from Saccharomyces genomes.

S. castellii contained a highly diverged Kos protein, designated Kos4p, which was 47% similar to Kos1 and Kos2 proteins from *S. kudriavzevii* and *S. bayanus*. The contig containing *S. castellii KOS4* also had genes whose orthologs in *S. cerevisiae* were from subtelomeric regions common to numerous chromosomes (Figure 7F). From the analysis of the chromosomal locations of *KOS* genes, we found the highest diversity in genes and gene order in the paralogs near the telomeres in all species.

DISCUSSION

Of the genes involved in heterochromatin formation in Saccharomyces species, the *SIR1* gene family stands out due to its late appearance relative to mating-type cassettes, its divergence in gene number, the locations of these genes in genomes, and in at least one case, its mode of regulation. This study of the four *S. bayanus* Sir1 paralogs revealed roles for all of the paralogs in silencing, provided evidence for the divergence and subspecialization of their roles, and inspired a model for the evolution of this protein family.

The entire *SIR1* family contributed to silencing in *S. bayanus*: Using strains with null alleles of *SIR1*, *KOS1*, *KOS2*, or *KOS3*, and strains with combinations of these null alleles, we established that all four genes contribute to silencing *HML* and *HMR* by several independent assays. Thus, *S. bayanus* uses a family of Sir1 paralogs to accomplish what *S. cerevisiae* does with its single Sir1. Interestingly, the various assays were necessary in combination to reveal the subtleties of how each paralog contributed to silencing at each locus. *HML* and *HMR* were at least partially derepressed by null alleles of the *SIR1*, *KOS1*, or *KOS2* paralogs. A null allele in *KOS3* had no effect on its own on the silencing of *HML* or *HMR*.

The analysis of double mutants by the mating-based silencing assay was instrumental in revealing a relationship among the contributions of the Sir1 paralogs to silencing. In particular, double-mutant combinations of *sir1*, *kos1*, and *kos2* were, to a first approximation, about as defective in silencing *HML* as was each single mutant on its own. Hence, it would appear that these three genes were jointly required to provide a common contribution to silencing. However, when any of these three single mutations was tested in combination with *kos3*, the silencing defect was much more pronounced than in any single mutant alone. Thus Kos3 contributed a different and complementary function to silencing *HML*.

Site of action of the Sir1 family members: The effect of all the Sir1 paralogs on *HML* and *HMR* expression was likely to be a direct effect of those proteins acting at

those loci. By ChIP analysis, all the Sir1 paralogs localized to both the *E* and *I* silencing elements of both *HML* and *HMR*. In *S. cerevisiae*, the recruitment of the single Sir1 species to silencers occurs through direct interaction between Sir1 and Orc1. It is presumed that there is a single Orc1 in the ORC complex and a single ORC complex bound to the ARS consensus sequence common to all silencers. Thus one would expect a single Sir1 ortholog molecule recruited to a silencer by direct binding of its OIR to the BAH domain of the single Orc1. However, because our data revealed that all four Sir1 orthologs were at each silencer, there would likely be other OIR (and OIR') domains at silencers with the potential to interact with other proteins with BAH domains. Sir3 is an obvious candidate, and the potential for multivalent interactions among Sir3 with multiple silencer-binding Sir1 orthologs could serve to enhance the efficiency of establishing silencing, explaining in part the very weak silencing defects of mutations in individual *SIR1* orthologs in *S. bayanus*. Of course, the multiple OIR and OIR' domains would have the potential to recruit other proteins with BAH domains, such as Rsc1 and Rsc2. Clearly the nature of the superstructure assembled at *S. bayanus* silencers is worthy of deeper exploration.

In addition to silencers, *S. cerevisiae*'s Sir1 protein is found at centromeres where it interacts with chromatin assembly factor I to promote centromere function (SHARP *et al.* 2003). It was possible that the dual functions of *S. cerevisiae* Sir1 were segregated into different paralogs in *S. bayanus*. However, our on-going work has shown that all four Sir1 paralogs in *S. bayanus* are resident at centromeres (J. E. G. GALLAGHER, unpublished data), suggesting that this subspecialization was not the reason for retaining multiple paralogs in *S. bayanus*.

Transcriptional regulation of *KOS3* by its genomic location: Three of the Sir1 paralogs from *S. bayanus*, *S. kudriavzevii*, and *S. castellii* are encoded by genes inferred to be within a modest distance of telomeres, with *KOS3* being the closest, and potentially vulnerable to telomere position effects. Indeed, treatment of wild-type *S. bayanus* cells with nicotinamide, a competitive inhibitor of the Sir2 class of histone deacetylases, resulted in a sixfold increase of *KOS3* expression. As expected, *KOS3* was also derepressed in *sir4* cells. Thus a gene encoding a protein that assists in the assembly of heterochromatin was itself regulated by heterochromatin, presumably through a telomere position effect. At this point it has not been possible to unambiguously determine whether the *SIR1* paralogs contribute to telomere position effect because of the poor assembly of subtelomeric sequences in *S. bayanus*.

Evolution of the *SIR1* family: Prior to the detection of the *KOS3* ortholog in *Z. rouxii*, it appeared as if the Sir1 family arose after the whole-genome duplication (BUTLER *et al.* 2004). However, we are now able to

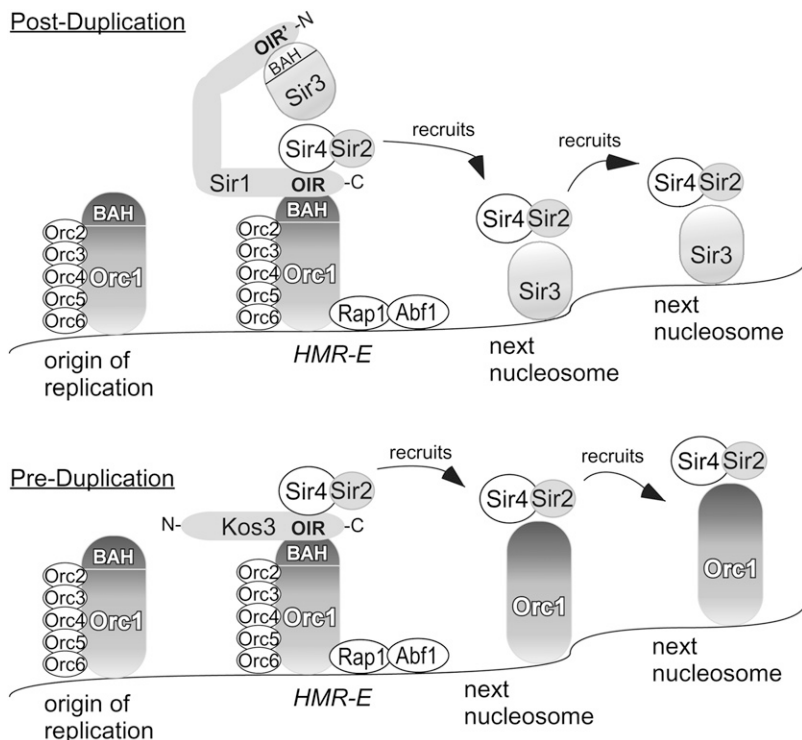


FIGURE 8.—Evolution of silencing in the pre- and postduplication hemiascomycetes. The duplication of the OIR in the *KOS3* ancestor corresponded with the whole-genome duplication of the *ORC1/SIR3* ancestor. A simple prediction of the model was that *Orc1* replaced *Sir3* in heterochromatin from pregenome duplication species. Additional details are provided in the text.

position the appearance of *Sir1* some time after the evolution of the mating cassettes, but before the genome duplication. By this model, *KOS3* would be the founding member of the family, with its loss in the lineage leading to *C. glabrata*. The internal duplication of the OIR in all *SIR1* orthologs, but missing from *KOS3* orthologs, implied an early duplication of *KOS3*, followed by a partial intragenic duplication of the OIR in one of the resulting genes. In this model, this gene with the duplicated OIR would have led to the other *SIR1* family members. The evolution of the other remaining family members could have been facilitated by their telomere proximity and unequal crossing over in these regions.

The genome duplication in the *Saccharomyces* lineage occurred once, and duplicated gene blocks were lost both before and after speciation events (LANGKJAER *et al.* 2003). If the last common ancestor of the *Saccharomyces* clade (Figure 1A) had four *Sir1* paralogs, then there must have been multiple loss events leading to *S. cerevisiae* and its closest neighbors. Recombination among the σ - and two δ -transposons that occupy the position of *KOS2* in species lacking it offered one mechanism for its loss. Because *KOS1* was the only *SIR1* paralog that was neither subtelomeric nor near a transposable element, some event must have moved this paralog from the subtelomeric birthplace of its orthologs. The proximity of some paralogs to telomeres suggested that the expansion and contraction of the family may be facilitated by the genomic churning in these neighborhoods, as suggested by the recent elaboration of *KOS3a* and *KOS3b* in *S. castellii*. One of the driving influences of gene duplication is the opportu-

nity for neofunctionalization and diversification. However, at least in the case of the *Sir1/Kos* family of *S. bayanus*, all the members retained at least the function of silencing. Whether they have gained new functions remains to be determined.

Implications for the evolution of silencing: *ORC1* and *SIR3* are paralogs created by the whole-genome duplication (SCANNELL *et al.* 2007). Hence silencing of *HML* and *HMR* in preduplication species had only one of these two proteins to work with. Since *ORC1* is essential and *SIR3* is not, we designated *ORC1* as the ancestor. There is substantial experimental support indicating that the OIR region of the *Sir1* protein family interacts with the BAH domain of *Orc1* (BELL *et al.* 1995; TRIOLO and STERNGLANZ 1996; GARDNER *et al.* 1999; ZHANG *et al.* 2002; HOU *et al.* 2005; HSU *et al.* 2005; CONNELLY *et al.* 2006). Hence in the ancestral species, *Kos3* was likely responsible for the recruitment of *Sir2/Sir4* to the silencer. In these species it would seem possible that *Orc1* may have served the structural role of *Sir3* in heterochromatin in addition to its role in replication (Figure 8). There is indirect evidence that in *S. cerevisiae* the N-terminal OIR' domain in *Sir1* interacts with the BAH domain of *Sir3*, allowing *Sir1* to act simultaneously as a recruitment agent for bringing *Sir* proteins to the silencer, and potentially as a scaffold to help assemble a *Sir* protein complex (CONNELLY *et al.* 2006). The affinity of *Sir3* for deacetylated nucleosome tails would then provide a mechanism of spreading additional *Sir* complexes. This model provides an explanation for how the ancestral *Kos3*, with only a single OIR domain, could still support silencing,

and suggests that *Orc1* in preduplication species may have some undiscovered link to histone H3 and H4 tails. A limitation of this model is that it offers little insight into why *S. bayanus* would need four paralogs to accomplish what *S. cerevisiae*, and presumably *Z. rouxii*, accomplish with one.

One possibility for why four *Sir1* paralogs were required for silencing in *S. bayanus* was that the intrinsic structure of the silencer was more complex than in *S. cerevisiae*. This model was ruled out by the ability of the *S. cerevisiae* *Sir1* protein to replace the silencing functions of the *S. bayanus* orthologs, at least to a first approximation. A second possibility was that four *Sir1* paralogs might offer a flexible regulatory response, with each protein optimized for responding to varying parameters in the environment. The challenge to this model is that there are no environmental conditions known to regulate silencing in *S. cerevisiae*, although the possibility has not been adequately explored in *S. bayanus*. A third possibility was that each of these paralogs has additional roles in the cell beyond silencing of *HML* and *HMR*, and presumably beyond centromere binding, that selected for their maintenance.

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